



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11
#105
SDavis
2/13/04

Applicant: RAMKUMAR, Krishnaswamy
Serial No.: 10/054,515

Filed: October 22, 2001

Group Art Unit: 2829

Title: Method of Manufacturing a Top
Insulating Layer for a SONOS-Type
Device

Examiner: Kilday, L.

Attorney Docket No.: CY-0025

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; or before the mailing of a first office action after the filing of a request for continued examination)

— under 37 CFR 1.97(c) together with either a:
— Certification under 37 CFR 1.97(e), or
— a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

— under 37 CFR 1.97(d) together with a:
— Certification under 37 CFR 1.97(e), and
— a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

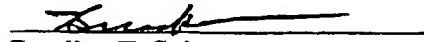
Applicant(s) submit herewith an Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,


Bradley T. Sako
Attorney/Agent for Applicant(s)
Reg. No. 37,923

Date: NOVEMBER 12, 2003

Telephone No.: 1-408-289-5315

37 CFR §1.8

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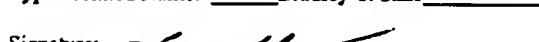
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Signature: 



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Complete if Known

Application Number	10/054,515
Filing Date	October 22, 2003
First Named Inventor	Ramkumar, Krishnaswamy
Group Art Unit	2829
Examiner Name	Kilday, L.
Attorney Docket Number	CY-0025

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,410,456 B1		Gronet et al.	06-25-2002	
		6,037,273		Gronet et al.	03-14-2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Office Number Kind Code ² (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code ² (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered
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*Unique citation designation number. ¹See attached Kinds of U.S. Patent Documents. ²Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ³For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.